

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Ekwuribe et al.

Application Serial No.: 09/429,798

Filed: October 29, 1999

For:

Blood-Brain Barrier Therapeutics

Confirmation No.: 6336

Group Art Unit: 1654

Date: November 18, 2003

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Attached is a form PTO-1449 (two pages), providing a list of documents 1-15, previously made of record in parent application serial number 09/134,803 from which the present application claims priority and/or in application serial number 09/430,735, which is a sister divisional application of the present application that also claims priority to parent application serial number 09/134,803. Because these references have already been made of record in these related applications, a copy of each of these references is not provided herein. However, applicants will provide a copy of any such reference cited herein upon request from the Examiner. Also included as item 16 is a reference not previously of record, and a copy is enclosed. Applicants respectfully request that these documents be considered and officially made of record in the present application in accordance with the provisions of C.F.R. § 1.56 and Section 609 of the MPEP.

This Information Disclosure Statement is submitted in accordance with 37 C.F.R. § 1.97(b). Accordingly, no fee is believed due. However, the Commissioner is authorized to charge any deficiency or credit any refund to Deposit Account No. 50-0220.

Respectfully submitted,

Registration No. 39,303

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Cathy A. Schetzina

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INFORM	ATION DISCL	OSURE	13	ني	Filing Date	October 29, 1999	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		THE TOURK OF	First Named Inventor	Ekwuribe			
			1	RADENIA	Group Art Unit	1654	
(use as many sheets as necessary)				Examiner Name	Brenda G. Brumback		
Sheet	1	of	2		Attorney Docket Number	9233.8DV1	

		U	.S. PATENTS	AND PATENT PUBLICATIONS	
Examiner Cite N Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document
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	2.	4,537,878		Plotnikoff	08-27-1985
	3.	5,061,691		Yagi et al.	10-29-1991
	4.	5,428,128		Mensi-Fatthoi et al.	06-27-1995
	5.	6,309,633		Ekwuribe et al	10-30-2001
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				FOREIGN PA	ATENT DOCUMENTS			
Examiner Initials*	Cite No.		Foreign Patent D		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document		T
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	<u>'</u>		ОТН	ER NON PATEN	T LITERATURE DOCUMENTS			
Examiner Initials*	Cite N				RS), title of the article (when appropriate), title of the ite age(s), volume-issue number(s), publisher, city and/or		T	
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Examiner Signature

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if

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Substitute form 1449A/PTO

INFORMATION DISCLOSURE TRAD STATEMENT BY APPLICANT

STATEMENT BY APPLICANT

(use as many sheets as necessary)
Sheet 2 of 2

Complet if Known				
Application Number	09/429,798			
Filing Date	October 29, 1999			
First Named Inventor	Ekwuribe			
Group Art Unit	1654			
Examiner Name	Brenda G. Brumback			
Attorney Docket Number	9233.8DV1			

		OTHER NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T
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	14.	Akiyama et al. "The Synthesis of New Derivatives of 1-ß-D-Arabinofuranosylcytosine" Chem. Pharm. Bull. 26(3):981 (1978)	
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Examiner Signature	Date Considered	
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